

1 **Efficacy of heat-killed and formalin-killed vaccines against *Tilapia tilapinevirus* in juvenile**  
2 **Nile tilapia (*Oreochromis niloticus*)**

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22  
23 **Running head:** Vaccines against *Tilapia tilapinevirus*

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35

36 **Data Availability Statement**

37 The data that support the findings of this study are available on request.

38

39 **Conflict of interests**

40 The authors declare no conflict of interest.

41

42 **Author Contributions**

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47 **Abstract**

48 *Tilapia tilapinevirus* (also known as tilapia lake virus, TiLV) is considered to be a new threat to  
49 the global tilapia industry. The objective of this study was to develop simple cell culture-based  
50 heat-killed (HKV) and formalin-killed (FKV) vaccines for the prevention of disease caused by  
51 TiLV. The fish were immunized with 100  $\mu$ L of either HKV or FKV by intraperitoneal injection  
52 with each vaccine containing  $1.8 \times 10^6$  TCID<sub>50</sub> inactivated virus. A booster vaccination was carried  
53 out at 21-day post vaccination (dpv) using the same protocol. The fish were then challenged with  
54 a lethal dose of TiLV at 28 dpv. The expression of five immune genes (*IgM*, *IgD*, *IgT*, *CD4* and  
55 *CD8*) in the head kidney and spleen of experimental fish was assessed at 14 and 21 dpv and again  
56 after the booster vaccination at 28 dpv. TiLV-specific IgM responses were measured by ELISA at  
57 the same time points. The results showed that both vaccines conferred significant protection, with  
58 relative percentage survival (RPS) of 71.3% and 79.6% for HKV and FKV, respectively.  
59 Significant up-regulation of *IgM* and *IgT* was observed in the head kidney of fish vaccinated with  
60 HKV at 21 dpv, while *IgM*, *IgD* and *CD4* expression increased in the head kidney of fish receiving  
61 FKV at the same time point. After booster vaccination, *IgT* and *CD8* transcripts were significantly  
62 increased in the spleen of fish vaccinated with the HKV, but not with FKV. Both vaccines induced  
63 a specific IgM response in both serum and mucus. In summary, this study showed that both HKV  
64 and FKV are promising injectable vaccines for the prevention of disease caused by TiLV in Nile  
65 tilapia.

66

67 **Keywords**

68 Tilapia lake virus, Nile tilapia, inactivated vaccine, protection, immune responses

69 **1. Introduction**

70 Tilapia (*Oreochromis* sp.) is the second most farmed fish species worldwide after carps, reaching  
71 6 million tons in 2020 (Fletcher, 2020), equivalent to a value of US\$ 7.9 billion (IMARC, 2020).  
72 As the demand for animal protein as a food source increases, tilapia has been considered an  
73 important freshwater fish for low- and middle-income countries (LMICs) due to its inexpensive  
74 price, high adaptability to various environmental conditions and ease to culture (Prabu et al., 2019).  
75 Intensification of tilapia farming systems has occurred as a result of this growing demand. This  
76 has led to an increased risk of emerging infectious diseases caused by bacteria, viruses, parasites  
77 and fungi (Dong et al., 2015; Mesalhy, 2013). In 2013, a new disease with a suspected viral  
78 etiology emerged in Ecuador (Ferguson et al., 2014), and was named syncytial hepatitis of tilapia  
79 (SHT) based on its characteristic histopathological features. Around the same time, a novel RNA  
80 virus causing mass mortalities in tilapia was discovered in Israel, termed tilapia lake virus (TiLV)  
81 (Eyngor et al., 2014). Subsequent studies, supported with molecular analysis, revealed that the  
82 disease episodes in Ecuador and Israel shared the same causative virus, TiLV (Bacharach et al.,  
83 2016b; del-Pozo et al., 2014). The virus has recently been classified as *Tilapia tilapinevirus*, in the  
84 *Tilapinevirus* genus, within the *Amnooviridae* family (Bacharach et al., 2016c). Currently, TiLV  
85 has been reported in 16 countries/region worldwide (Jansen et al., 2019; Surachetpong et al., 2020).  
86 Current knowledge indicates that TiLV can infect all stages of fish development, including  
87 fertilized eggs, larvae, fry, fingerlings, juveniles and large-size fish (Dong et al., 2017; Senapin et  
88 al., 2018) although fingerlings and juveniles appear to be more vulnerable to infection with the  
89 virus (Amal et al., 2018; Dong et al., 2017; Ferguson et al., 2014; Surachetpong et al., 2017).  
90 Cumulative mortalities of up to 80% have been reported for farmed tilapia in Israel, while in a  
91 report from Ecuador the percentage of mortalities appeared to fluctuate from 10-20% up to 80%  
92 depending on the fish strain when tilapia fish were transferred to grow out cages, with fish dying  
93 within 4-7 days of transfer (Eyngor et al., 2014; Ferguson et al., 2014). The mortality levels caused  
94 by TiLV infection in Thailand were also variable, ranging from 20-90% (Dong et al., 2017), and  
95 experimental infections also tended to result in high levels of mortality (66-100%) (Behera et al.,  
96 2017; Dinh-Hung et al., 2021; Eyngor et al., 2014; Tattiayapong et al., 2017). All of these reports  
97 suggest that TiLV is highly virulent and will cause significant mortality loss if introduced to a  
98 production site.

99 Vaccines are an effective way to prevent disease caused by either bacteria or viruses in farmed fish  
100 (Evensen, 2016). Currently, the majority of licensed vaccines in aquaculture are inactivated  
101 vaccines, which contain either single or combined killed pathogens (Ma et al., 2019; Kayansamruaj  
102 et al., 2020), inactivated using either physical (e.g. heat, pH, and ultraviolet) or chemical (e.g.  
103 formalin,  $\beta$ -propiolactone, glutaraldehyde) processes (Delrue et al., 2012; Lelie et al., 1987).  
104 Ideally, when a vaccine is administered, the fish's immune response is stimulated to produce of  
105 antibodies and an immunologic memory against the pathogen (Secombes & Belmonte, 2016), so  
106 that the immune system responses more effectively if the fish should encounter the pathogen at a  
107 late date. However, to improve the efficacy of the vaccine, a booster dose(s) is often required in  
108 order to obtain high antibody titers against the pathogen (Angelidis, 2006; Bogwald & Dalmo,  
109 2019; Thu Lan et al., 2021). Inactivated vaccines normally stimulate humoral immune responses,  
110 involving helper T cells (CD4+ T cells) and antibody-secreting B cells, secreting IgM, IgD or IgT  
111 (Smith et al., 2019). The antibodies combat invading pathogens through a variety of mechanisms,  
112 including neutralization, phagocytosis, antibody-dependent cellular cytotoxicity, and complement-  
113 mediated lysis of pathogens or infected cells (Forthal, 2014). Viral vaccines can also activate cell-  
114 mediated immunity, involving cytotoxic T-cells (also known as CD8+ T cells), the function of  
115 which is to destroy virus-infected cells (Secombes & Belmonte, 2016; Smith et al., 2019;  
116 Somamoto et al., 2002; Toda et al., 2011).

117 Many vaccines traditionally formulated from inactivated bacteria or viruses, have been licensed  
118 and are commercially available for a variety of fish species, mainly salmon, trout and carp (Ma et  
119 al., 2019). The few studies that have been reported relating to the development of a vaccine to  
120 prevent TiLV infections in tilapia. The first TiLV vaccine was developed in Israel using strains of  
121 TiLV that were attenuated by 17-20 subsequent passages in cell culture. The prototype for these  
122 vaccines had relative percentage survival (RPS) values of over 50% (Bacharach et al., 2016a).  
123 More recently, a cell-culture derived vaccine containing virus inactivated with  $\beta$ -propiolactone  
124 and adjuvant Montanide IMS 1312 VG, with a virus titer of  $10^8$  50% tissue culture infectious dose  
125 per milliliter ( $TCID_{50}$   $mL^{-1}$ ) was developed in China. The vaccine gave a relatively high level of  
126 protection, with the RPS value of 85.7 %. This vaccine was able to induce specific IgM, as well  
127 as upregulate a variety of immune genes (Zeng et al., 2021a). In another study, a DNA vaccine  
128 consisting of a pVAX1 DNA vector containing the sequence for TiLV's segment 8, encoding an  
129 immunogenic protein VP20, was used for the primary immunization and a recombinant VP20

130 (rVP20) protein was used as a booster vaccine given at 3-week post-vaccination (wpv). This  
131 vaccine combination resulted in a RPS value of 72.5 %, compared to 50 % and 52.5 % respectively  
132 for the DNA vaccine or rVP20 alone (Zeng et al., 2021b). In the present study, we investigated  
133 whether simple cell culture-based vaccines (water-based with no adjuvant), containing either heat-  
134 killed or formalin-killed virus, were able to provoke a specific immune response in vaccinated fish  
135 and if the vaccines protected them from TiLV infection.

136

## 137 **2. Materials and methods**

### 138 **2.1. Fish**

139 Juvenile Nile tilapia (*Oreochromis niloticus*) (body weight,  $7.3 \pm 1.2$  g; length,  $5.9 \pm 1.1$ ) were  
140 obtained from a commercial tilapia hatchery with no previous record of TiLV infection. The fish  
141 were placed in 100-liter containers at a density of 60 fish per tank at around  $28^{\circ}\text{C}$  and fed with a  
142 commercial diet daily at 3% of body weight for 15 days before performing the vaccination trial.  
143 Prior to the experiment, 5 fish were randomly selected to screen for the presence of TiLV using a  
144 semi-nested PCR (Taengphu et al., 2020) and bacteria using conventional culture method and  
145 found to be negative. Water quality parameters including pH, ammonia, and nitrite concentration  
146 was monitored every 3 days using a standard Aqua test kit (Sera, Germany), and water was  
147 changed twice per week. The vaccination study was approved by Kasetsart University Institutional  
148 Animal Care and Use Committee (ACKU62-FIS-008).

### 149 **2.2. Virus preparation**

150 TiLV strain TH-2018-K was isolated from Nile tilapia during a TiLV outbreak in Thailand in 2018  
151 using E11 cell line following the protocol described previously by Eyngor et al., (2014). The virus  
152 was cultured in  $75 \text{ cm}^2$  flasks containing confluent E11 cells and 15 ml of L15 medium at  $25^{\circ}\text{C}$   
153 for 5-7 days or until the cytopathic effect (CPE) of around 80 % was obtained in the cell monolayer.  
154 The culture supernatant containing the virus was centrifuged at 4,500 g for 5 min at  $4^{\circ}\text{C}$  (Eppendorf  
155 5810R) and stored at  $-80^{\circ}\text{C}$ . The concentration of the virus was determined by calculating the virus  
156 titre as 50% tissue culture infective dose per milliliter ( $\text{TCID}_{50} \text{ mL}^{-1}$ ) (Reed & Muench, 1938).

### 157 **2.3. Vaccine preparation**

158 TiLV TH-2018-K ( $1.8 \times 10^7$  TCID<sub>50</sub> ml<sup>-1</sup>) was used to prepare both HKV and FKV. Viral  
159 inactivation was performed at 60 °C for 2, 2.5, and 3 h or with formalin (QReC) at a final  
160 concentration of 0.002%, 0.004%, 0.006%, 0.008% and 0.01% for 24 h at 25°C. Viral infectivity  
161 was then checked on E11 cells. Successful inactivation of the virus was confirmed by the absence  
162 of a cytopathic effect (CPE) after 7 days with all inactivation conditions tested (Table S1).  
163 Subsequently, inactivation of the virus was performed at 60°C for 2.5 h for HKV, while incubation  
164 of 0.006% formalin at 25°C for 24 h was used for FKV. The inactivated viral solutions were used  
165 as vaccine preparations and were not adjuvanted. These were stored at 4°C until used.

166 **2.4. Immunization, sampling and challenge test**

167 Before immunization, 6 fish were chosen randomly from the fish population for blood and mucus  
168 sampling. The vaccine study comprised of three groups (HKV, FKV and control). Each group  
169 consisted of two 100-L replicate tanks with 25 fish each. Prior to vaccination, fish were  
170 anaesthetized using clove oil (100 ppm). Fish in the vaccine groups were immunized with either  
171 HKV or FKV by intraperitoneal (IP) injection with 100 µL of vaccine using a 28G × 13 mm needle.  
172 Booster immunization was carried out at 21 dpv with the same dose of vaccine (Table 2). Fish in  
173 the control group were treated the same, except L15 medium was used in place of the virus  
174 solution. Three fish from each tank were randomly collected at 14, 21 and 28 dpv for blood, mucus  
175 and tissue sampling (6 biological replicates per treatment). Before sampling, fish were  
176 anaesthetized with clove oil at 100 ppm. Mucus samples were collected from each fish by placing  
177 the fish into a plastic bag containing 1 mL phosphate-buffered saline (PBS, 137 mM NaCl, 2.7  
178 mM KCl, 10 mM Na<sub>2</sub>HPO<sub>4</sub>, and 1.8 mM KH<sub>2</sub>PO<sub>4</sub>) followed by gentle rubbing for 30s. These were  
179 then centrifuged at 4,000 g for 10 min. The mucus supernatant samples were collected and stored  
180 at -20°C until used. Blood (~ 200 µL) was withdrawn from caudal vessel using a 25G × 16 mm  
181 needle and allowed to clot for 2 h at 4°C. Serum was collected after centrifugation the blood at  
182 4,000 g for 10 min (Thermo Scientific, UK) and then stored at -20°C. Tissues (head kidney and  
183 spleen) were collected, immediately placed in Trizol solution (Invitrogen, UK), and kept at -20°C  
184 until RNA extraction. For the challenge test, a viral stock of TiLV strain TH-2018-K ( $1.8 \times 10^7$   
185 TCID<sub>50</sub> mL<sup>-1</sup>) was diluted 2 times with sterile distilled water. Each fish was injected IP with 0.1  
186 mL of the diluted TiLV solution ( $9 \times 10^5$  TCID<sub>50</sub> fish<sup>-1</sup>) at 28 dpv, and mortalities were monitored  
187 daily for 21 days. Representative dead fish from each group were subjected for TiLV diagnosis  
188 using an in-house RT-qPCR (Taengphu et al., submitted).

189 **2.5. Immune-related gene expression by RT-qPCR**

190 RNA was extracted using Trizol (Invitrogen, UK) following the protocol recommended by the  
191 manufacturer. Genomic DNA contamination was removed using DNase I (Ambion, UK)  
192 according to the manufacturer's instructions. After DNase I treatment, RNA samples were re-  
193 purified using an equal volume of acid phenol:chloroform (5:1, pH 4.7) (Green & Sambrook, 2019)  
194 before checking quality and quantity of extracted RNA with Nanodrop ND-1000  
195 Spectrophotometer (Thermo Scientific, UK). DNA contamination in the treated RNA samples was  
196 assessed by performing a qPCR cycling with tilapia elongation factor 1 $\alpha$  (*EF-1 $\alpha$* ) primers using  
197 No-RT master mix (absence of reverse transcriptase enzyme provided in iScript<sup>TM</sup> Reverse  
198 Transcription kit, Bio-Rad, USA). The cDNA synthesis (20  $\mu$ L reactions) was performed using an  
199 iScript<sup>TM</sup> Reverse Transcription Supermix (Bio-Rad, USA) containing 100 ng RNA and incubated  
200 at 25°C for 5 min for priming, followed by 46°C for 20 min for reverse transcription and then 95°C  
201 for 1 min for inactivation of the reverse transcriptase. Immune-related gene expression in the head  
202 kidney and spleen were analyzed using a quantitative real-time PCR, with specific primers as listed  
203 in Table 1 and iTaq Universal SYBR Supermix (Bio-Rad, USA). The 10  $\mu$ L reaction consisted of  
204 5.0  $\mu$ L 2X Supermix, 0.5  $\mu$ L forward and reverse primers (10  $\mu$ M each), 1.0  $\mu$ L cDNA and 3.0  $\mu$ L  
205 distilled water. The reaction consisted of an initial activation at 95°C for 2 min, followed by 40  
206 amplification cycles of denaturation at 95°C for 30 s, annealing at the optimal temperature of each  
207 primer pair (as shown in Table 1), and extension at 72°C for 30 s. Gene expression data for the  
208 immune-related genes of vaccinated and control fish were normalized with that of *EF-1 $\alpha$*  gene  
209 amplification using the  $2^{-\Delta\Delta C_t}$  method (Livak & Schmittgen, 2001).

210 **2.6. Measurement of antibody response by ELISA**

211 Polystyrene 96 well ELISA plates were coated with 0.01% poly-L-lysine solution for 1 h. The  
212 plates were then rinsed 3 times with low salt wash buffer (LSWB, 2 mM Tris; 38 mM NaCl;  
213 0.005% Tween 20, pH 7.3) before the addition of 100  $\mu$ L of either heat- or formalin-inactivated  
214 TiLV ( $1.8 \times 10^7$  TCID<sub>50</sub> mL<sup>-1</sup>) overnight at 4°C. The plates were washed 3 times with LSWB,  
215 followed by a blocking step with PBS + 1% bovine serum albumin (BSA, Sigma) for 2 h at room  
216 temperature (around 28°C). Then, 100  $\mu$ L mucus (undiluted) or sera (diluted 1:512 in PBS) were  
217 added to each well and incubated overnight at 4°C. The following day, the plates were washed 5  
218 times with high salt wash buffer (HSWB, 2 mM Tris; 50 M NaCl; 0.01% Tween 20, pH 7.7) and

219 incubated with anti-tilapia IgM (Soonthonsrima et al., 2019) diluted at the ratio 1:200 in PBS +  
220 1% BSA for 2 h at around 28°C. The plates were then washed 5 times with HSWB followed by  
221 incubation of goat anti-mouse antibody (Merck, Germany) conjugated with HRP (diluted 1:3000  
222 in LSWB + 1% BSA) for 1 h at around 28°C. The plates were finally washed 5 times with HSWB  
223 before adding 100 µL of TMB (Merck, Germany) to each well. Color was developed in the dark  
224 for 5-10 min before adding 50 µL of 2 M H<sub>2</sub>SO<sub>4</sub> stop solution (Merck, Germany). Optical density  
225 was read at wavelength 450 nm using the microplate reader (SpectraMax ID3, USA).

226 **2.7. Statistical analysis**

227 GraphPad Prism 6 was used to generate the graphs. Kaplan-Meier analysis was performed and the  
228 log-rank test was used to compare the survival curves between vaccinated and control groups. The  
229 relative percentage survival (RPS) was calculated using following equation:

230 
$$RPS = 1 - \left[ \frac{\text{average \% mortality of vaccinated fish}}{\text{average \% mortality of unvaccinated fish}} \right] \times 100$$

231 The differences in relative fold change of immune-related gene expression and specific antibody  
232 IgM level were compared using two-way ANOVA followed by the LSD post hoc test. The  
233 differences are considered at different levels of significance  $p < 0.05$ ,  $p < 0.01$ ,  $p < 0.001$  and  
234  $p < 0.0001$ .

235

236 **3. Results**

237 **3.1. Efficacy of vaccine**

238 In the challenge experiment, the first mortality occurred at 3-day post challenge (dpc) in the non-  
239 vaccinated group (control) and at 5 and 7 dpc in the HKV and FKV groups, respectively (Fig. 1).  
240 Mortalities continued until 13-15 dpc. Moribund fish showed gross signs of TiLV infection  
241 including abdominal distension, skin erosion, exophthalmos, fin rot, gill pallor and pale liver (Fig.  
242 S1). The dead fish from each group were tested positive for TiLV by RT-qPCR. The survival rates  
243 were  $81.3 \pm 0.0\%$  and  $86.3 \pm 0.0\%$  for HKV and FKV groups, respectively, compared to  $28.13 \pm$   
244  $30.9\%$  for the control ( $p < 0.0001$ ). The survival percentage were analysed using Kaplan-Meier  
245 curves with the log rank test (Fig. 1). Average RPS values were 71.3 % for the HKV and 79.6 %  
246 for the FKV vaccine (Table 2).

247 **3.2. Immune-related gene expression**

248 The relative fold changes of five immune genes (*IgM*, *IgT*, *IgD*, *CD4*, *CD8*) were compared to that  
249 of the control group (Fig. 2). In the head kidney, a non-significant increase of *IgM* mRNA relative  
250 to the control was noted at 14 dpv, which was followed by significant increase relative to the  
251 control at 21 dpv for both HKV and FKV groups (Fig. 2A,  $p<0.05$ ). A similar trend was observed  
252 for *IgT* at 14 dpv for both vaccine groups, which was followed by significantly higher expression  
253 levels at 21 dpv for the HKV group only (Fig. 2B,  $p<0.05$ ). Regarding mRNA levels of *IgD*, there  
254 was significant up-regulation of *IgD* in the FKV group only at 21 dpv (Fig. 2C,  $p<0.01$ ). The *CD4*  
255 gene was significantly upregulated at 14 dpv in the HKV only (Fig. 2D,  $p<0.05$ ) and at 21 dpv in  
256 the FKV ( $p < 0.001$ ). No statistical difference was observed in *CD8* expression between the  
257 vaccinated and control groups at the time point examined (Fig. 2E).

258 In the spleen, non-significant, relative up-regulation of *IgM* expression was noted in both HKV  
259 and FKV groups compared to the control at 14 dpv. (Fig. 2F). There was a slight increase of *IgM*  
260 mRNA level relative to the control in the HKV group after booster (28 dpv), which were not  
261 significant. Also at 28 dpv, *IgT* expression was over 25 times higher in the HKV group ( $p<0.05$ )  
262 and almost 20 times higher in the FKV group (Fig. 2G). A slight significant increase in *IgD*  
263 expression was seen in the HKV group at 14-dpv (Fig. 2H,  $p<0.05$ ). No significant increase of  
264 *CD4* expression was found at any time point (Fig. 2I); meanwhile, an approximately tenfold  
265 increase of *CD8* expression was observed at 28 dpv in the HKV group (Fig. 2J,  $p<0.05$ ).

### 266 **3.3. Detection of antibody IgM against TiLV in serum and mucus.**

267 Systemic TiLV-specific antibody IgM (anti-TiLV IgM) levels pre-vaccination (0 dpv) and at 14,  
268 21 and 28 dpv, as indicated by optical density (OD) at 450 nm, were determined by ELISA (Fig.  
269 3A). Before immunization, the average OD value of the fish sera was  $0.096 \pm 0.009$ . The OD  
270 readings for HKV, FKV and control groups were  $0.254 \pm 0.053$ ,  $0.363 \pm 0.09$  and  $0.096 \pm 0.015$   
271 at 14 dpv, respectively. The OD values showed an increase in antibody levels in both groups of  
272 vaccinated fish, but were only statistically different in the FKV group ( $p<0.01$ ). A slight decrease  
273 was seen in OD readings at 3 wpv in both the HKV and FKV groups relative to the control group  
274 ( $0.249 \pm 0.049$ ,  $0.317 \pm 0.043$  and  $0.128 \pm 0.017$ , respectively). One week after the booster  
275 vaccination at 28 dpv, the anti-TiLV IgM levels had increased considerably in both the HKV  
276 ( $p<0.001$ ) and the FKV ( $p<0.0001$ ) groups, reaching the highest values obtained between the

277 different sampling points, compared to that of the non-vaccinated group (average OD readings  
278 were  $0.438 \pm 0.127$ ,  $0.483 \pm 0.088$ , and  $0.081 \pm 0.01$  respectively) (Fig. 3A).

279 A similar pattern was observed with the mucosal anti-TiLV IgM response (Fig. 3B). Before  
280 vaccination, the average OD value of fish mucus was  $0.068 \pm 0.003$ . At 14 dpv, the TiLV-specific  
281 antibody IgM rose in both of the vaccinated groups, HKV and FKV, compared to the non-  
282 vaccinated group ( $0.251 \pm 0.104$ ,  $0.404 \pm 0.142$ , and  $0.07 \pm 0.005$ , respectively), but a significant  
283 difference was only noted for the FKV group ( $p < 0.01$ ). At 3 wpv, the antibody levels were not  
284 significantly differ between groups, with OD values of  $0.159 \pm 0.031$  (HKV),  $0.290 \pm 0.064$   
285 (FKV), and  $0.083 \pm 0.007$  (control) being recorded. At 4 wpv (after administering the booster  
286 vaccination), a considerable increase in anti-TiLV IgM levels was seen in the mucus of the FKV  
287 group ( $p < 0.001$ ) ( $0.585 \pm 0.145$ ), whereas the increase measured in HKV fish ( $0.235 \pm 0.044$ ) was  
288 not statically different to that of the control group ( $0.107 \pm 0.018$ ). No significant changes in  
289 average OD readings were seen between the non-vaccinated group and pre-immunized fish in  
290 either sera or mucus (Fig. 3 A-B).

291

## 292 **4. Discussion**

### 293 **4.1. Both simple HKV and FKV were effective in protecting tilapia from TiLV infection**

294 Although many different types of vaccines have been developed for aquaculture in recent years,  
295 whole-cell inactivated vaccines remain the major type of vaccine licensed for use by the  
296 aquaculture industry (Kayansamruaj et al., 2020; Ma et al., 2019). They are safe, relatively simple  
297 to produce, and are affordable for farmers, especially for species that are intensively cultured, but  
298 low in price like tilapia in LMICs. In this study, we prepared two versions of simple water-based  
299 inactivated vaccine (HKV and FKV) for TiLV and assessed the ability of both to protective tilapia  
300 against the virus. Both HKV and FKV were able to confer relatively high levels of protection  
301 (RPS, 71.3% vs. 79.6%) in vaccinated fish. Differences in methods used to inactivate the virus,  
302 vaccine formulation, viral strains, antigen concentration, route of vaccine administration and the  
303 population of fish can all contribute to the level of protection obtained from a vaccine (Table 3).  
304 Despite this, vaccination is still considered as a promising strategy to protect tilapia from TiLV  
305 infection, although the design of the vaccine should be carefully considered to optimize the level  
306 of protection obtained. Other inactivated vaccines have shown relatively high levels of protection

307 in fish. For example, other formalin-killed vaccines resulted in RPS values of 79%, 81.9% and  
308 74% for infectious hematopoietic necrosis virus in rainbow trout (*Oncorhynchus mykiss*) (Tang et  
309 al., 2016), *Betanodavirus* in European sea bass (*Dicentrarchus labrax*) (Nuñez-Ortiz et al., 2016),  
310 and scale drop disease virus (SDDV) in Asian sea bass (*Lates calcarifer*) (de Groof et al., 2015),  
311 respectively. In addition, a heat-killed *Aeromonas hydrophila* vaccine gave 84% protection in rain-  
312 bow trout (Dehghani et al., 2012). Although the efficacy of these and the current vaccines were  
313 not tested against heterologous strains of TiLV, the high level of protection elicited against the  
314 homologous strain suggests that autogenous inactivated vaccines may be effective as an  
315 emergency vaccine to reduce the risk of production losses in affected tilapia farms.

316 **4.2. Immunization with HKV or FKV activated both branches of the tilapia's specific  
317 immune system**

318 Upregulation in the expression of *IgM*, *IgD* and *IgT* and *CD4* (genes encoding proteins involved  
319 in humoral immunity) and *CD8* (cell-mediated immunity) following immunization with HKV and  
320 FKV suggests that the vaccines are able to activate both arms of the specific immune response in  
321 Nile tilapia. Protection from these vaccines is, therefore, likely to result from a synergistic effect  
322 of humoral (B cell) and cellular immune (T cell) responses. This is similar to the recent report by  
323 Zeng et al (2021a), showing that  $\beta$ -propiolactone-inactivated TiLV vaccines induced up-regulation  
324 of *MHC-I* and *MHC-II/CD4*, which belong to different arms of the immune system.

325 The increase in *CD4* transcripts at 14 and 21 dpv in fish vaccinated with HKV or FKV may reflect  
326 activated naïve *CD4+* cells differentiating into helper T-cell subsets, Th1 and Th2. The Th1 cells  
327 produce cytokines that stimulate the expression of anti-viral and inflammatory genes, whereas  
328 cytokines secreted by Th2 cells stimulate the differentiation of B-cells into plasma cells to produce  
329 specific antibody (Secombes & Wang, 2012; Secombes & Belmonte; 2016; Smith et al., 2019).  
330 On the other hand, *CD8* transcription was only seen to be significantly up-regulated in the spleen  
331 of the HKV group after booster vaccination, indicating that the HKV may stimulate *CD8+* cell  
332 activation, which then differentiate into cytotoxic T-cells. These cells play a crucial role in cell-  
333 mediated immunity (Bo et al., 2012; Somamoto et al., 2002; Smith et al., 2019).

334 As well as assessing the expression of *IgM* transcripts, this study also examined the expression of  
335 two additional immunoglobulins *IgD* and *IgT*. Similar patterns of up-regulation were found in  
336 head kidney of fish after the primary immunization, suggesting that all three antibodies may be

337 involved in the protective response elicited by the vaccines. Interestingly, significant increases in  
338 mRNA *IgT* levels were seen in the head kidney before booster vaccination and in the spleen after  
339 the booster vaccination for both the HKV and FKV groups, suggesting that IgT may be strongly  
340 associated with the protective response against TiLV. Unfortunately, the function of IgT in tilapia  
341 remains poorly understood. Functional localization studies in other fish species have shown that  
342 IgT plays an important role against infectious pathogens on mucosal surfaces, such as skin, gills  
343 and gut (Smith et al., 2019; Salinas et al., 2021; Zhang et al., 2011). Nevertheless, further studies  
344 are required to gain a better understanding on the role of IgT in tilapia's defense system, especially  
345 in response to infection.

346 Although immune genes were significantly upregulated in the head kidney after primary  
347 immunization, this pattern of expression was not observed in the spleen. This suggest that the head  
348 kidney, apart from being a primary lymphoid organ, also act as an important secondary lymphoid  
349 organ where specific immune responses to the TiLV vaccine occurred. Studies in other fish have  
350 shown that the head kidney, containing blast cells, plasma cells and melano macrophages, is an  
351 important site for antigen presentation and antibody production (Kumar et al., 2016; Soulliere &  
352 Dixon, 2017). This might be similar in tilapia. However, it was unexpected to find no significant  
353 up-regulation of *IgM*, *IgT*, *IgD* and *CD4* in the head kidney at 7 days after the booster vaccination  
354 at 28 dpi. It is possible that the increase in gene expression occurred later than 7 days after the  
355 booster vaccination or in other secondary lymphoid organs (not assessed in this study). Therefore,  
356 future studies should investigate a longer time course for gene expression to better understand the  
357 dynamics of immune gene responses after booster vaccination.

### 358 **4.3. HKV and FKV induce both systemic and mucosal IgM**

359 In present study, HKV and FKV were shown to trigger both systemic and mucosal IgM responses,  
360 with similar patterns observed between the two vaccines. The increase in systemic and mucosal  
361 IgM in teleost is usually derived from the major lymphoid organs, such as head kidney and spleen  
362 (Zapata et al., 2006), but also from the mucosa-associated lymphoid organs located in the skin,  
363 gills, gut, or nasopharynx (not investigated in this study) (Smith et al., 2019; Salinas et al., 2021).  
364 In the present study, up-regulation of IgM expression occurred mainly in the head kidney, and to  
365 a less extent in the spleen, suggesting head kidney to be one of the main organs for IgM production  
366 in response to the TiLV vaccines. Although the pathway of IgM secretion in the mucosal

367 compartment (mucus) is unclear, it is possible that mucosal antibodies are produced locally in the  
368 mucosa-associated lymphoid organs and/or by the systemic immune system (Esteban & Cerezuela,  
369 2015; Koppang et al., 2015; Salinas et al., 2011; Salinas & Parra, 2015; Salinas et al., 2021). In  
370 other research using Asian seabass, monovalent and bivalent bacterial vaccines induced both  
371 systemic and mucosal IgM (Thu-Lan et al., 2021). Similar kinetics have been reported for IgM  
372 secretion in the serum of red hybrid tilapia, infected IP with TiLV (Tattiyapong et al., 2020). The  
373 levels of serum IgM increased significantly in Nile tilapia after immunization with  $\beta$ -  
374 propiolactone-inactivated virus (Zeng et al. 2021a) or with a recombinant vaccine based on  
375 segment 8 of TiLV (Zeng et al., 2021b). Mucosal IgM was not investigated in these studies,  
376 however. The presence of TiLV-specific IgM in the mucus of vaccinated fish suggests that these  
377 vaccines may be able to generate a primary immune response in multiple mucosal organs such as  
378 skin and gills, which are crucial sites to prevent the initial invasion of pathogenic agents (Esteban  
379 & Cerezuela, 2015; Koppang et al., 2015). The IgM levels produced by FKV was always slightly  
380 higher than HKV in both serum and mucus at all sampling points analyzed, indicating that FKV  
381 induces stronger systemic and mucosal IgM responses than HKV. This could be one of the factors  
382 explaining for slightly higher level of protection conferred by FKV.

383 In this study, increased levels of TiLV specific IgM after booster vaccination in both serum and  
384 mucus indicate successful induction of specific immune memory after first immunization.  
385 However, low levels of *IgM* mRNA detected at 28 dpv did not reflect the IgM levels measure by  
386 ELISA at this time point. It was likely that the earlier *IgM* transcripts had already degraded, while  
387 its translated products (antibody) remained. B-cells are the major component involved in humoral  
388 adaptive immunity. They are activated by specific antigen binding to the B-cell receptors on the  
389 cell, followed by presentation of processed antigens to naïve CD4-Tcells, which then differentiate  
390 into helper T-cells. With T cells' help, B-cells differentiate into plasma cells and memory B-cells.  
391 Plasma cells are committed to antibody secretion, whereas memory B-cells are responsible for the  
392 long-lasting protection from subsequent exposure to the same pathogens (Secombes & Belmonte,  
393 2016; Smith et al., 2019).

394 Although systemic and mucosal IgM levels were assessed in the study, we were unable to measure  
395 levels of other antibodies i.e. IgD and IgT by ELISA due to a lack of monoclonal antibodies for  
396 these immunoglobulin classes in tilapia. Further studies should investigate the cost of the vaccine

397 for commercial production, the persistence of the immune response in vaccinated fish, duration of  
398 protection and efficacy testing these vaccines in a commercial setting.

399 In conclusion, this study reported on the efficacy of two simple TiLV inactivated vaccines without  
400 adjuvant (HKV and FKV) in preventing TiLV infection in Nile tilapia. The vaccines activated both  
401 branches of adaptive immunity, triggered expression of three immunoglobulin classes and elicited  
402 both systemic and mucosal IgM responses. Most importantly, these vaccines showed relatively  
403 high levels of protection against TiLV infection, and therefore seem very promising for the  
404 prevention of disease associated with TiLV.

405

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594 **Tables and Figures**

595

596 **Table 1.** Details of primers used for immune-related gene expression in this study.

Gene	Oligo sequences	Annealing temperature (°C)	Product size (bp)	Gene functions	References
<i>EF-1<math>\alpha</math></i>	F-5'-CTACAGCCAGGCTCGTTCG-3' R-5'-CTTGTCACTGGTCTCCAGCA-3'	56	139	Elongation factor (housekeeping gene)	(Velázquez et al., 2018)
<i>IgM</i>	F-5'-GGATGACGAGGAAGCAGACT-3' R-5'-CATCATCCCTTGCCACTGG-3'	53	122	Immunoglobulin M (IgM)	(Velázquez et al., 2018)
<i>IgT</i>	F-5'-TGACCAGAAATGGCGAAGTCTG-3' R-5'-GTTATAGTCACATTCTTAGAATTACC-3'	53	163	Immunoglobulin T (IgT)	(Velázquez et al., 2018)
<i>IgD</i>	F-5'-AACACCACCTGTCCCTGAAT-3' R-5'-GGGTGAAAACCACATTCCAAC-3'	61	127	Immunoglobulin D (IgD)	(Wang et al., 2016)
<i>CD4</i>	F-5'-GCTCCAGTGTGACGTGAAA-3' R-5'-TACAGGTTGAGTTGAGCTG-3'	61	106	Receptor on helper T-cell (CD4 $^{+}$ )	XM_025911776.1, designed in this study
<i>CD8</i>	F-5'-GCTGGTAGCTCTGGCCTTT-3' R'-5'-TGTGATGGTGTGGGCATCTC-3'	49.5	91	Receptor on cytotoxic T-cell (CD8 $^{+}$ )	XM_005450353.3*, designed in this study

597 \*homolog (98% nucleotide sequence identity) of *Oreochromis aureus* *CD8 $\alpha$*  (XM\_031747820.2).

598 **Table 2.** Details of experimental groups and challenge results

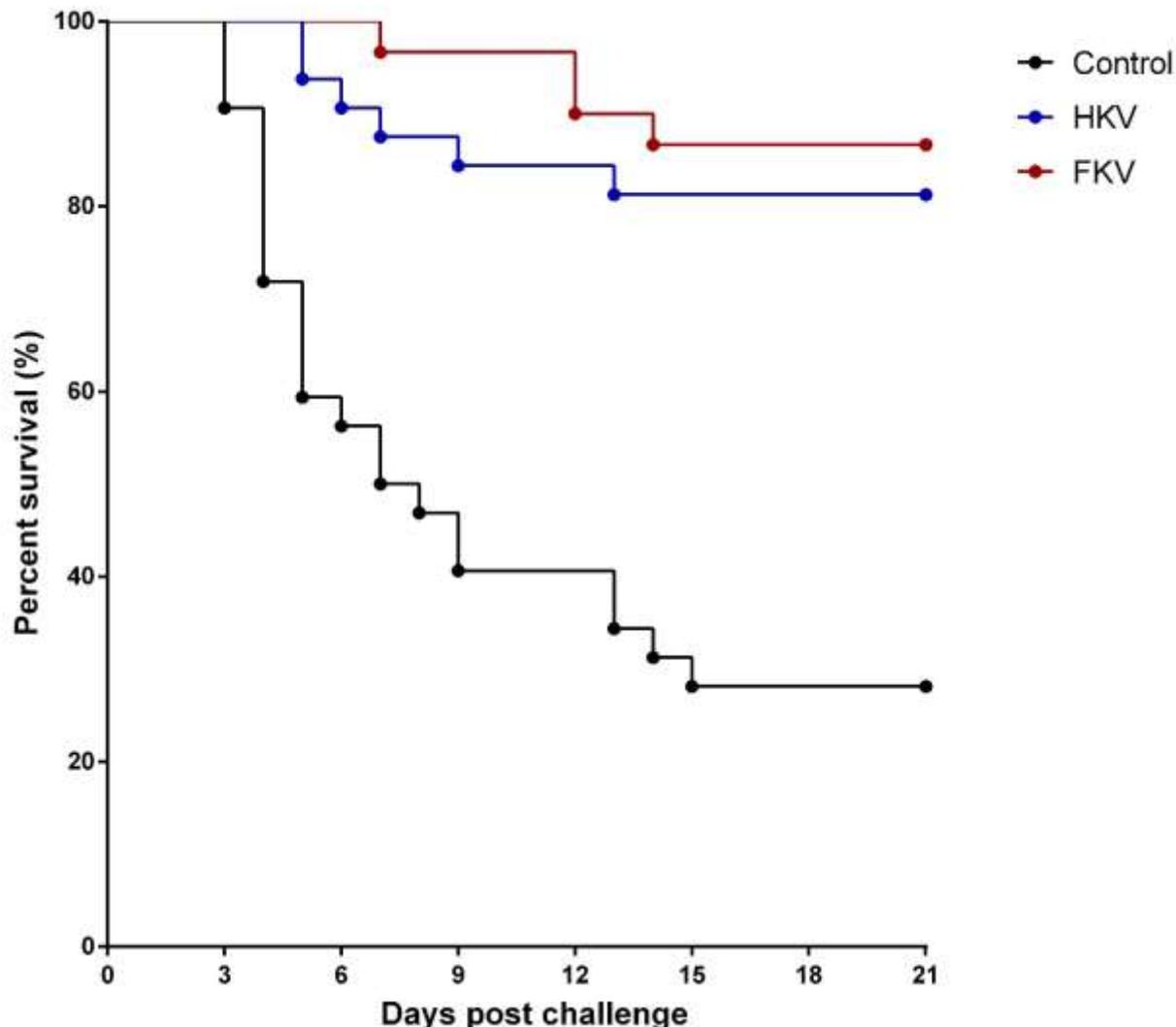
Treatment	Administration route	No of fish challenged	Primary vaccination (TCID <sub>50</sub> fish <sup>-1</sup> )	Booster vaccination (TCID <sub>50</sub> fish <sup>-1</sup> )	Challenge (TCID <sub>50</sub> fish <sup>-1</sup> )	Survival rate (%)	RPS (%)	Significant level (compared to control)
			Day 0	Day 21	Day 28			
Control (L15 media)	IP	16 ( $\times 2$ rep.)	0	0	$9 \times 10^5$	$28.13 \pm 30.9$	NA	
HKV	IP	16 ( $\times 2$ rep.)	$1.8 \times 10^6$	$1.8 \times 10^6$	$9 \times 10^5$	$81.3 \pm 0.0$	71.3	$p < 0.0001$
FKV	IP	15 ( $\times 2$ rep.)	$1.8 \times 10^6$	$1.8 \times 10^6$	$9 \times 10^5$	$86.3 \pm 0.0$	79.6	$p < 0.0001$

599 HKV, heat-killed vaccine group; FKV, formalin killed vaccine group; rep, replicate; NA, not applicable; IP, intraperitoneal injection

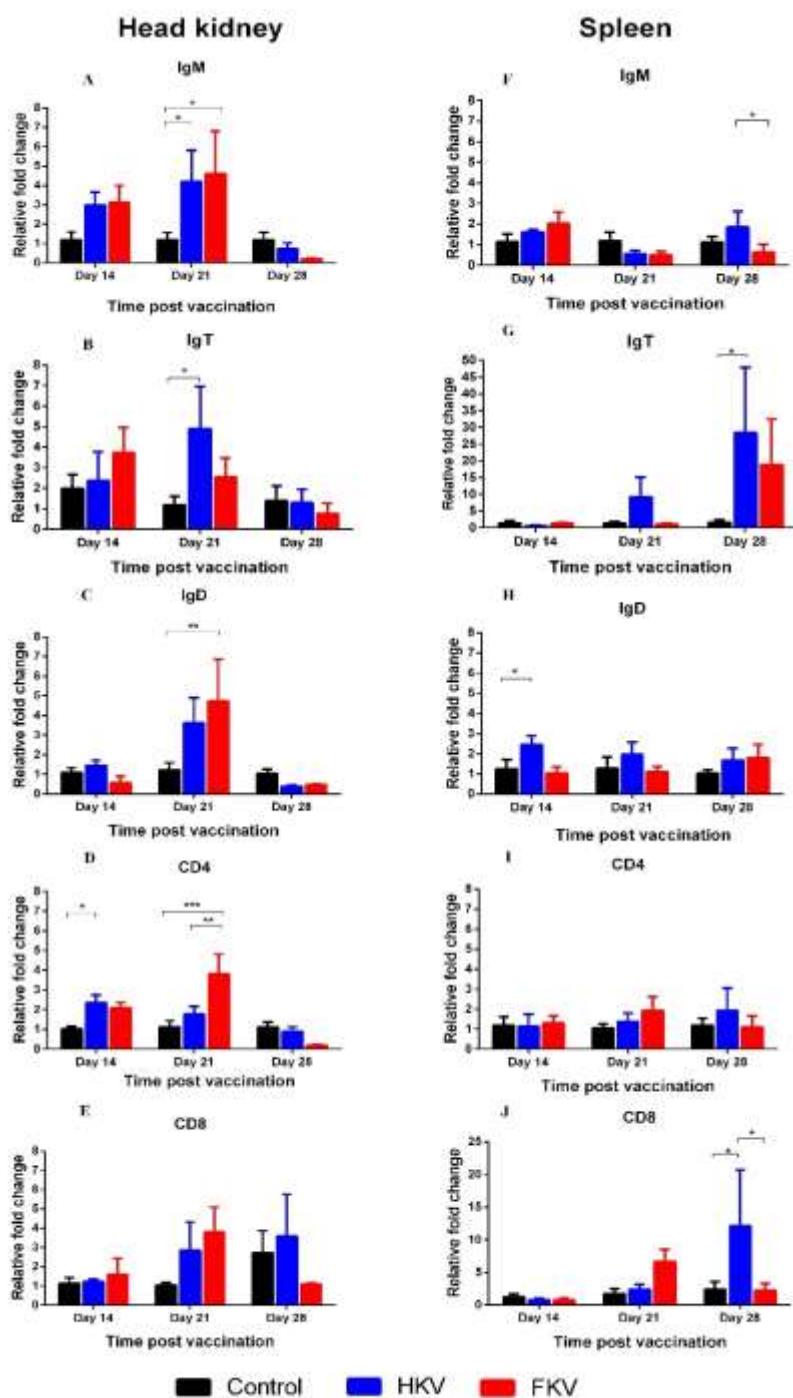
600 **Table 3.** Summary of TiLV vaccines and their efficacy

Vaccine type	Description	Country of origin	Dose (TCID <sub>50</sub> fish <sup>-1</sup> )	Administration route	Adjuvant	% RPS or survival rate	Reference
Live attenuated	17 and 20 passages (P17 & P20) on cell culture	Israel	1.2 × 10 <sup>7</sup> (P17) and 8.9 × 10 <sup>6</sup> (P20)	IP	No	> 50 <sup>a</sup>	Bacharach et al., 2016a
DNA vaccine	Segment 8 (VP20)	China	5 µg	IM	No	50 <sup>b</sup>	Zeng et al., 2021b
Recombinant vaccine			20 µg	IM	M402 (China)	52.5 <sup>b</sup>	
DNA + recombinant vaccine			5 µg of DNA vaccine (prime) + 20 µg of recombinant vaccine (booster)	IM	M402 for booster	72.5 <sup>b</sup>	
Inactivated	β-propiolactone	China	10 <sup>5</sup> ; 10 <sup>6</sup> ; 10 <sup>7</sup>	IM	Montanide IMS 1312 VG (Seppic, France)	32.1 <sup>a</sup> - 85.7 <sup>a</sup>	Zeng et al., 2021a
				IM	No	14.3 <sup>a</sup> - 42.9 <sup>a</sup>	
	Heat	Thailand	1.8 × 10 <sup>6</sup>	IP	No	71.3 <sup>a</sup>	This study
	Formalin		1.8 × 10 <sup>6</sup>	IP	No	79.6 <sup>a</sup>	

601 IP, intraperitoneal injection; IM: intramuscular injection; a: Relative percentage survival (RPS); b: survival rate

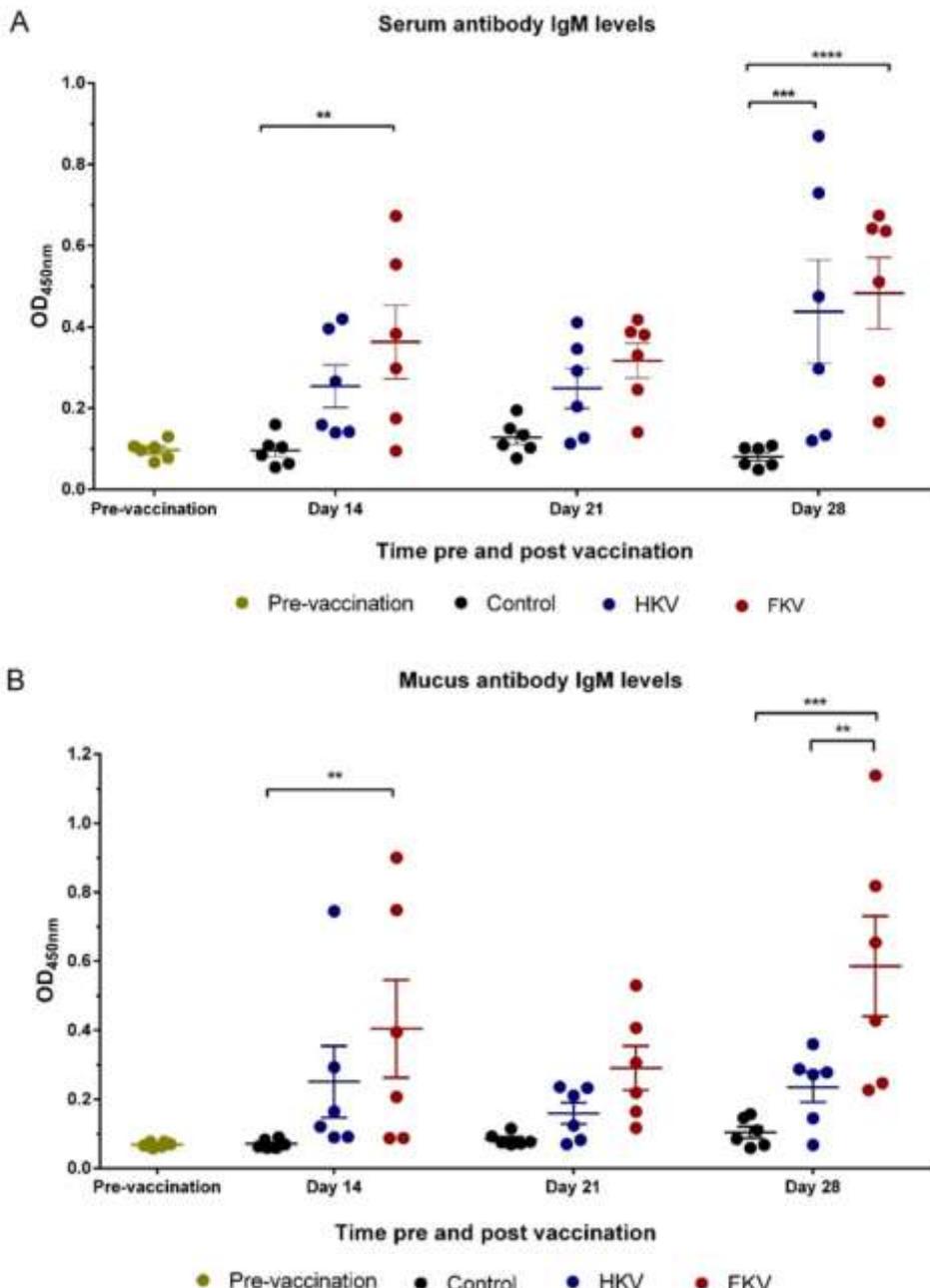


602  
603 **Figure 1.** Average percent survival of heat-killed and formaldehyde-killed vaccinated groups  
604 (HKV vs. FKV) compared to the non-vaccinated group (Control) during 21 days post challenge.  
605 with TiLV (strain TH-2018-K). Statistical analysis of cumulative survival between both vaccinated  
606 groups and the control were analyzed using Kaplan-Meier curve with log-rank test ( $p < 0.0001$ ).



607

608 **Figure 2.** Fold change in gene expressions between non-vaccinated and vaccinated fish at 14, 21  
609 and 28 - day post vaccination. Data are presented as the mean  $\pm$  SE (n=6). Control, non-vaccinated  
610 group; HKV, heat-killed vaccine group; FKV, formalin-killed vaccine group. Asterisks show  
611 significant levels between groups. \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$



612

613 **Figure 3.** Optical Density (OD) at 540 nm for IgM levels against TiLV in fish sera (diluted 1:512)  
614 (A) and mucus (undiluted) (B). Data are presented as the mean  $\pm$  SE (n=6). Control, non-  
615 vaccinated group; HKV, heat-killed vaccine group; FKV, formalin-killed vaccine group. Asterisks  
616 indicate significant levels between groups. \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ , \*\*\*\*  $p < 0.0001$ .